

Binomial Coefficients of Multidimensional Arrays

Mehdi Golafshan, Michel Rigo

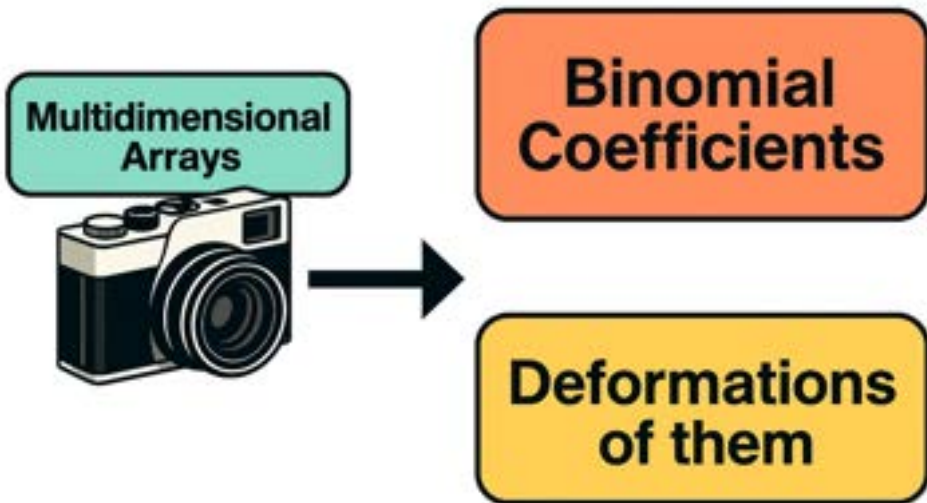
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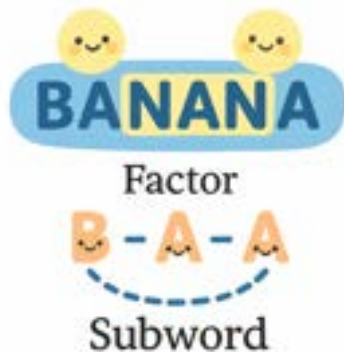


- ▶ Black/white modules form a finite $n \times n$ binary picture.
- ▶ Encodes data + Reed–Solomon error-correction in the 2-D layout.
- ▶ Scanners act like automata recognising the picture language of valid codes.



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- $|w|_a$ = # of occurrences of the letter a in w
- In a word
 - factor:** subsequence of consecutive letters
 - subword:** subsequence of letters

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A **multidimensional word** (k -array) is a finite hyper-rectangular array of symbols from $\mathcal{A}$.

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Example (1)

- $u = 101001$
- $v = 101$

101001 101001 101001
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$$\binom{u}{v} = \binom{101001}{101} = 6$$

Zero-Dimension

- $u, v \in \mathcal{A} \cup \{\varepsilon\}$ (single symbol or empty): zero-dimensional words

$$\delta_{u,v} = \binom{u}{v} = \begin{cases} 1, & u = v, \\ 0, & \text{otherwise.} \end{cases}$$

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One-Dimension

- $u = u_1 u_2 \cdots u_k \in \mathcal{A}^*$
- $v = v_1 v_2 \cdots v_\ell \in \mathcal{A}^*$
- $u_i, v_j \in \mathcal{A}$

$$\binom{u}{v} = \sum_{1 \leq i_1 < \cdots < i_\ell \leq k} \delta_{u_{i_1}, v_1} \cdots \delta_{u_{i_\ell}, v_\ell}.$$

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Definition (1)

The **column-binomial coefficient** of M and P is defined by

$$\binom{M}{P} = \sum_{1 \leq i_1 < \cdots < i_p \leq m} \binom{M_{i_1}}{P_1} \cdots \binom{M_{i_p}}{P_p}.$$

- ▶ $\binom{M_{i_j}}{P_j}$: the classical binomial coefficient of 1-D words

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Example (2)

$$M = \begin{pmatrix} a & b & a & a \\ b & a & a & a \\ a & a & b & a \\ a & b & b & a \end{pmatrix} \quad \text{and} \quad P = \begin{pmatrix} a & a \\ a & a \end{pmatrix}$$

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$$\binom{M_1}{P_1} = 3, \quad \binom{M_2}{P_1} = 1, \quad \binom{M_3}{P_1} = 1, \quad \binom{M_4}{P_1} = 6,$$

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$$\binom{M}{P} = 3(1 + 1 + 6) + 1(1 + 6) + 1(6) = \boxed{37}$$

Binomial Coefficients (k-Dimension)

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The **slice binomial coefficient** of M and P is given by

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- ▶ M_i : the $(k-1)$ -dimensional slice obtained by fixing the last coordinate of M to i
- ▶ $\binom{M_{i_j}}{P_{e_j}}$: a binomial coefficient of $(k-1)$ -dimensional slice arrays.

Proposition (Pascal Identity)

- $u, v \in \mathcal{A}^*$
- $a, b \in \mathcal{A}$

$$\begin{pmatrix} ua \\ vb \end{pmatrix} = \begin{pmatrix} u \\ vb \end{pmatrix} + \delta_{a,b} \begin{pmatrix} u \\ v \end{pmatrix}.$$

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Proposition (G. & Rigo 2025)

- $M \in \mathcal{A}^{r \times m}$
- $P \in \mathcal{A}^{s \times p}$
- $C \in \mathcal{A}^{r \times 1}$
- $D \in \mathcal{A}^{s \times 1}$

$$\begin{pmatrix} M \oplus C \\ P \oplus D \end{pmatrix} = \begin{pmatrix} M \\ P \oplus D \end{pmatrix} + \begin{pmatrix} C \\ D \end{pmatrix} \begin{pmatrix} M \\ P \end{pmatrix}.$$

* $A \oplus B$: concatenation of two arrays (have the same number of rows)

Chu–Vandermonde's Identity (2D-Words)

Proposition (Chu–Vandermonde's Identity)

- $u, v, w \in \mathcal{A}^*$

$$\binom{uv}{w} = \sum_{\substack{x y = w \\ x, y \in \mathcal{A}^*}} \binom{u}{x} \binom{v}{y}.$$

Proposition (Chu–Vandermonde's Identity)

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- $M \in \mathcal{A}^{r \times m}$
- $N \in \mathcal{A}^{r \times n}$

$$\binom{M \oplus N}{P} = \sum_{P_1 \oplus P_2 = P} \binom{M}{P_1} \binom{N}{P_2}.$$

- $M = (M_1 \quad \cdots \quad M_m) \in \mathcal{A}^{**}$
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Definition (3)

Let $\Psi : \mathcal{A}^{m \times 1} \rightarrow \mathbb{N}^{(p+1) \times (p+1)}$ be a morphism of monoids such that, for all $k \in \{1, \dots, m\}$ and $i \in \{1, \dots, p+1\}$,

$$[\Psi(M_k)]_{i,j} = \begin{cases} 1, & j = i, \\ \begin{pmatrix} M_k \\ P_i \end{pmatrix}, & j = i+1 \quad (1 \leq i \leq p), \\ 0, & \text{otherwise.} \end{cases}$$

This is the **column-Parikh matrix** of M_k .

Example (3)

Let

$$M = \begin{pmatrix} a & b & a & a \\ b & a & a & a \\ a & a & b & a \\ a & b & b & a \end{pmatrix} \quad \text{and} \quad P = \begin{pmatrix} a & a \\ b & b \end{pmatrix}.$$

We obtain

$$\Psi \begin{pmatrix} a \\ b \\ a \\ a \end{pmatrix} \Psi \begin{pmatrix} b \\ a \\ a \\ a \end{pmatrix} \Psi \begin{pmatrix} a \\ a \\ b \\ b \end{pmatrix} \Psi \begin{pmatrix} a \\ a \\ a \\ a \end{pmatrix} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 2 & 0 \\ 0 & 1 & 2 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 4 & 0 \\ 0 & 1 & 4 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 7 & 14 \\ 0 & 1 & 7 \\ 0 & 0 & 1 \end{pmatrix}.$$

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Indeed, the entries of the matrix correspond to

$$\begin{pmatrix} M \\ (a \ b)^\top \end{pmatrix} = 7, \quad \begin{pmatrix} M \\ (a \ b)^\top \end{pmatrix} = 7 \quad \text{and} \quad \begin{pmatrix} M \\ P \end{pmatrix} = 14.$$

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Theorem (G. & Rigo 2025)

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$\begin{pmatrix} M \\ P \end{pmatrix}$: encoded directly in the single entry $(1, p+1)$ of the unitriangular matrix $\Psi(M)$.

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Theorem (G. & Rigo 2025)

- ▶ $\Psi(M)$: an upper-unitriangular $(p+1) \times (p+1)$ matrix
- ▶ For every super-diagonal position (i, j) with $1 \leq i < j \leq p+1$

$$[\Psi(M)]_{i,j} = \begin{pmatrix} M \\ (P_i \dots P_{j-1}) \end{pmatrix}.$$

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- $\mathbf{a} \in \mathcal{A}$

$$\begin{pmatrix} \mathbf{a}^m \\ \mathbf{a}^n \end{pmatrix} = \begin{pmatrix} m \\ n \end{pmatrix}, \quad m, n \in \mathbb{N}$$

Hyperrectangle in another Hyperrectangle

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- $M \in \mathcal{A}^{r \times m}$

$$\sum_{P \in \mathcal{A}^{s \times p}} \begin{pmatrix} M \\ P \end{pmatrix} = \begin{pmatrix} \mathbf{a}^{r \times m} \\ \mathbf{a}^{s \times p} \end{pmatrix} = \begin{pmatrix} m \\ p \end{pmatrix} \cdot \begin{pmatrix} r \\ s \end{pmatrix}^p.$$

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- ▶ $k \geq 2$ and $M \in \mathcal{A}^{d_1 \times \dots \times d_k}$.
- ▶ By induction on k

$$\sum_{P \in \mathcal{A}^{e_1 \times \dots \times e_k}} \begin{pmatrix} M \\ P \end{pmatrix} = \begin{pmatrix} d_k \\ e_k \end{pmatrix} \begin{pmatrix} d_{k-1} \\ e_{k-1} \end{pmatrix}^{e_k} \begin{pmatrix} d_{k-2} \\ e_{k-2} \end{pmatrix}^{e_k e_{k-1}} \dots \begin{pmatrix} d_1 \\ e_1 \end{pmatrix}^{e_k e_{k-1} \dots e_2}.$$

Example (4)

$$\begin{pmatrix} a & b \\ a & a \end{pmatrix} \sqcup \begin{pmatrix} a & b \\ a & b \end{pmatrix} = \begin{pmatrix} a & b & a & b \\ a & a & a & b \end{pmatrix} + 2 \begin{pmatrix} a & a & b & b \\ a & a & a & b \end{pmatrix} + 2 \begin{pmatrix} a & a & b & b \\ a & a & b & a \end{pmatrix} + \begin{pmatrix} a & b & a & b \\ a & b & a & a \end{pmatrix}.$$

Proposition (Subword-Shuffle Identity)

- $u \in \mathcal{A}^*$
- $p \in \mathcal{A}^*$
- $q \in \mathcal{A}^*$

$$\binom{u}{p} \binom{u}{q} = \sum_{r \in \mathcal{A}^*} \langle p \sqcup q, r \rangle \binom{u}{r}.$$

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Proposition (G. & Rigo 2025)

- $M \in \mathcal{A}^{r \times m}$
- $P \in \mathcal{A}^{s \times p}$
- $Q \in \mathcal{A}^{s \times q}$

$$\begin{aligned} \binom{M}{P} \binom{M}{Q} &= \sum_{R \in (\mathcal{A}^{s \times 1})^*} \langle P \sqcup Q, R \rangle \binom{M}{R} \\ &+ \sum_{\substack{1 \leq i_1 < \dots < i_p \leq m \\ 1 \leq j_1 < \dots < j_q \leq m \\ \{i_1, \dots, i_p\} \cap \{j_1, \dots, j_q\} \neq \emptyset}} \binom{(M_{i_1} \dots M_{i_p})}{P} \cdot \binom{(M_{j_1} \dots M_{j_q})}{Q}. \end{aligned}$$

Theorem (Manvel's Identity)

- $u, x \in \mathcal{A}^*$
- $k \in \mathbb{N}$
- $|x| \leq k \leq |u|$

$$\sum_{t \in \mathcal{A}^k} \binom{u}{t} \binom{t}{x} = \binom{|u| - |x|}{k - |x|} \binom{u}{x}.$$

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Theorem (G. & Rigo 2025)

- $M \in \mathcal{A}^{r \times m}$
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$$\sum_{T \in \mathcal{A}^{q \times t}} \binom{M}{T} \binom{T}{P} = \binom{m-p}{t-p} \binom{r}{q}^{t-p} \binom{r-s}{q-s}^p \binom{M}{P}.$$

Let's Recap (I)

0

Review of Binomial
Coefficients of Words

1

Column and Slice
Binomial Coefficients

2

Column Parikh Matrix

3

Column Combinatorial
Identities (Pascal,
Chu–Vandermonde, Manvel)

- We choose the recursive definition of q -binomials processed from the left.

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Definition (Renard, Rigo & Whiteland 2025)

- $u, v \in \mathcal{A}^*$
- $c, d \in \mathcal{A}$

$$\binom{cu}{dv}_q = \binom{u}{dv}_q \cdot q^{|\mathrm{dv}|} + \delta_{c,d} \binom{u}{v}_q.$$

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Example (5)

$$\binom{aab}{ab}_q = q^2 + q \quad \text{and} \quad \binom{aba}{ab}_q = 1.$$

Theorem (Renard, Rigo & Whiteland 2025)

- $u \in \mathcal{A}^*$
- $k \geq 0$
- $a_1, \dots, a_k \in \mathcal{A}$

$$\left(\begin{matrix} u \\ a_1 \cdots a_k \end{matrix} \right)_q = \sum_{\substack{u_1, u_2, \dots, u_{k+1} \in \mathcal{A}^* \\ u = u_1 a_1 \cdots u_k a_k u_{k+1}}} q^{\sum_{i=1}^k (k+1-i)|u_i|}.$$

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$$\binom{u}{a_1 \cdots a_k}_q = \sum_{\substack{u_1, u_2, \dots, u_{k+1} \in \mathcal{A}^* \\ u = u_1 a_1 \cdots u_k a_k u_{k+1}}} q^{\sum_{i=1}^k (k+1-i)|u_i|}.$$

- $M = (M_1 \cdots M_m) \in \mathcal{A}^{**}$
- $P = (P_1 \cdots P_p) \in \mathcal{A}^{**}$

Definition (4)

The (q, t) -column-binomial coefficient of M and P is

$$\binom{M}{P}_{q,t} = \sum_{1 \leq i_1 < \cdots < i_p \leq m} t^{\sum_{j=1}^p (i_j - j)} \binom{M_{i_1}}{P_1}_q \cdots \binom{M_{i_p}}{P_p}_q.$$

Theorem (Renard, Rigo & Whiteland 2025)

- $u \in \mathcal{A}^*$
- $k \geq 0$
- $a_1, \dots, a_k \in \mathcal{A}$

$$\binom{u}{a_1 \cdots a_k}_q = \sum_{\substack{u_1, u_2, \dots, u_{k+1} \in \mathcal{A}^* \\ u = u_1 a_1 \cdots u_k a_k u_{k+1}}} q^{\sum_{i=1}^k (k+1-i)|u_i|}.$$

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Example (6)

$$M = \begin{pmatrix} a & a & a \\ a & b & a \\ b & a & b \end{pmatrix} \quad P = \begin{pmatrix} a & a \\ b & b \end{pmatrix}$$

$$\begin{aligned} \binom{M}{P}_{q,t} &= (q^2 + q) \cdot 1 + t \cdot (q^2 + q) \cdot (q^2 + q) + t^2 \cdot 1 \cdot (q^2 + q) \\ &= q^4 t + 2q^3 t + q^2 t^2 + q^2 t + q^2 + qt^2 + q. \end{aligned}$$

1. Single-row arrays $\implies t$ -specialisation

$$\binom{M}{P}_{q,t} = \binom{M}{P}_q(t)$$

1. Single-row arrays $\implies t$ -specialisation

$$\binom{M}{P}_{q,t} = \binom{M}{P}_q(t)$$

2. Single-column arrays $\implies q$ -specialisation

$$\binom{M}{P}_{q,t} = \binom{M}{P}_q$$

Proposition (Renard, Rigo & Whiteland 2025)

- $u, v \in \mathcal{A}^*$
- $a, b \in \mathcal{A}$

$$\binom{ua}{vb}_q = \binom{u}{vb}_q \cdot q^{|vb|} + \delta_{a,b} \binom{u}{v}_q.$$

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Proposition (G. & Rigo 2025)

- $M \in \mathcal{A}^{r \times m}$
- $P \in \mathcal{A}^{s \times p}$
- $C \in \mathcal{A}^{r \times 1}$
- $D \in \mathcal{A}^{s \times 1}$

$$\begin{pmatrix} C \oplus M \\ D \oplus P \end{pmatrix}_{q,t} = \begin{pmatrix} M \\ D \oplus P \end{pmatrix}_{q,t} \cdot t^{p+1} + \begin{pmatrix} C \\ D \end{pmatrix}_q \begin{pmatrix} M \\ P \end{pmatrix}_{q,t}.$$

Proposition (Renard, Rigo & Whiteland 2025)

- $x, y, u \in \mathcal{A}^*$

$$\binom{xy}{u}_q = \sum_{u_1 u_2 = u} q^{|u_1|(|y|-|u_2|)} \binom{x}{u_1}_q \binom{y}{u_2}_q.$$

Proposition (Renard, Rigo & Whiteland 2025)

- $x, y, u \in \mathcal{A}^*$

$$\begin{pmatrix} xy \\ u \end{pmatrix}_q = \sum_{u_1 u_2 = u} q^{|u_1| (|y| - |u_2|)} \begin{pmatrix} x \\ u_1 \end{pmatrix}_q \begin{pmatrix} y \\ u_2 \end{pmatrix}_q.$$

Proposition (G. & Rigo 2025)

- $M \in \mathcal{A}^{r \times m}$
- $N \in \mathcal{A}^{r \times n}$
- $P \in \mathcal{A}^{s \times p}$
- $|P|$: # columns of P

$$\begin{pmatrix} M \oplus N \\ P \end{pmatrix}_{q,t} = \sum_{P_1 \oplus P_2 = P} t^{(m - |P_1|)|P_2|} \begin{pmatrix} M \\ P_1 \end{pmatrix}_{q,t} \begin{pmatrix} N \\ P_2 \end{pmatrix}_{q,t}.$$

- $M = (M_1, \dots, M_m)$, $P = (P_1, \dots, P_p)$, $\tilde{M} = (\text{row-reversed } M)$, $\tilde{P} = (\text{row-reversed } P)$.

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1. Vertical flip (reverse the column order)

$$[t^j] \binom{M_1 \cdots M_m}{P_1 \cdots P_p}_{q,t} = [t^{p(m-p)-j}] \binom{M_m \cdots M_1}{P_p \cdots P_1}_{q,t}.$$

- ▶ polynomial in t with coefficients in $\mathbb{N}[q]$

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- ▶ polynomial in t with coefficients in $\mathbb{N}[q]$

2. Horizontal flip (reverse each column top-to-bottom)

$$[q^j] \binom{\tilde{M}}{\tilde{P}}_{q,t} = [q^{ps(r-s)-j}] \binom{M}{P}_{q,t}, \quad M \in \mathcal{A}^{r \times m}, P \in \mathcal{A}^{s \times p}.$$

- ▶ polynomial in q with coefficients in $\mathbb{N}[t]$

Example (7)

$$M = \begin{pmatrix} a & a & a \\ a & b & a \\ b & a & b \end{pmatrix} \quad \text{and} \quad P = \begin{pmatrix} a & a \\ b & b \end{pmatrix}.$$

Let $r = m = 3$ and $s = p = 2$

$$\begin{pmatrix} M \\ P \end{pmatrix}_{q,t} = q^4 t + 2tq^3 + (t^2 + t + 1)q^2 + (t^2 + 1)q,$$

$$\begin{pmatrix} \tilde{M} \\ \tilde{P} \end{pmatrix}_{q,t} = (t^2 + 1)q^3 + (t^2 + t + 1)q^2 + 2tq + t.$$

The knowledge of certain coefficients uniquely determines the word.

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Example (7)

$$M = \begin{pmatrix} a & a & b \\ a & b & b \\ b & a & b \end{pmatrix} \implies \begin{pmatrix} M \\ (b) \end{pmatrix}_{q,t} = q^2 + tq + t^2 (q^2 + q + 1).$$

$q^{i-1}t^{j-1}$ occurs in the (q, t) -binomial $\iff \exists b \in \mathcal{A}$ in position (i, j)

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- ▶ Read q^2 ($i = 3, j = 1$) $\implies$ b in row 3, column 1.
- ▶ Read tq ($i = 2, j = 2$) $\implies$ b in row 2, column 2.
- ▶ Read t^2q^2 ($i = 3, j = 3$) $\implies$ etc.

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Review of q -Deformation
of Words

1

(q,t) -Deformation of Words

2

(q,t) -Deformation Identities
(Pascal and Chu-Vandermonde)

3

Properties of (q,t) Deformation

4

(q,t) -Reconstruction Problems



Figure: Villa Majorelle